



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,709	01/18/2002	Timothy Hall Addington	43314/236952

CONFIRMATION NO. 5418

00826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000

FORMALITIES LETTER



Date Mailed: 02/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$84.  
    □ \$84 for 1 independent claims over 3 .
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 954.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000021 10054709  
03/15/2002 JADD01  
01 FC:101  
02 FC:102  
03 FC:105  
740.00 OP  
84.00 OP  
130.00 OP

Attorney's Docket No. 43314/236952

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Addington et al.  
Applicant No.: 10/054,709  
Filing Date: January 18, 2002  
Title: SYSTEMS AND METHODS FOR ESTABLISHING AND ADMINISTERING  
SESSIONS IN DIGITAL CABLE SYSTEMS

Confirmation No.: 5418

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated February 15, 2002,  
enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
  - ☒ Declaration and Power of Attorney for the above-identified application which has been  
executed by the named inventor(s)
  - ☐ Applicant claims small entity status
  - ☒ Check in the amount of \$954.00 to cover the filing fee of \$740.00, the \$84.00 additional claim  
fee, the \$130.00 surcharge under 37 C.F.R. § 1.16(e) and a check in the amount of \$40.00 to  
cover the fee for the Assignment.
  - ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
  - ☒ Other: Assignment; Assignment Recordation Cover Sheet; Request for Corrected Filing Receipt;  
Copy of Filing Receipt; Return Postcard
- Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

*William R. Silverio*

William R. Silverio  
Registration No. 45,383

Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Atlanta Office (404) 881-7000  
Fax Atlanta Office (404) 881-7777

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in  
an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on February 28, 2002.

*Laisha Richardson*  
Laisha Richardson

Receipt

2611  
COPY OF PAPERS  
ORIGINALLY FILED

Attorney's Docket No. 43314/236952

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Addington, et al.

Confirmation No.: 5418

App. No.: 10/054,709

Dated: January 18, 2002

For: SYSTEMS AND METHODS FOR ESTABLISHING AND ADMINISTERING  
SESSIONS IN DIGITAL CABLE SYSTEMS

February 28, 2002

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

RECEIVED

APR 23 2002

Technology Center 2600

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

In reviewing the Filing Receipt for the above referenced application, Applicant notes that errors appear as noted on the enclosed copy of the Filing Receipt. Applicant requests that a corrected Filing Receipt be issued.

Respectfully submitted,



William R. Silverio  
Registration No. 45,383

Customer No. 00826  
**ALSTON & BIRD LLP**  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Atlanta Office (404) 881-7000  
Fax Atlanta Office (404) 881-7777

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center,  
Washington, DC 20231, on February 28, 2002.



Laisha Richardson